OGC Geospatial eXensible Access Control Markup Language (GeoXACML) 3.0 GML 3.2.1 Encoding Extension

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i. **Abstract**

This standard defines the version 3.0 of a valid GML 3.2.1 geometry encoding as defined in Geography Markup Language (GML) simple features profile (with Corrigendum) to be used with the GeoXACML 3.0 Core standard.

The use of this encoding extension to GeoXACML 3.0 Core enables the direct use of GML 3.2.1 encoded geometries into a GeoXACML 3.0 Policy, an Authorization Decision Request or in an Authorization Decision’s Obligation element. It thereby improves the performance of deriving access control decisions, where geometries are involved as existing GML 3.2.1 geometry encodings must not be transformed to Well Known Text (WKT) as supported by GeoXACML 3.0 Core. Furthermore, the use of this encoding extension simplifies the implementation of a Policy Enforcement Point as it must not provide the transformation functions from GML to WKT and vice versa.

This encoding extension has its normative base in Geography Markup Language (GML) simple features profile (with Corrigendum).

ii. **Keywords**

The following are keywords to be used by search engines and document catalogues.

ogcdoc, OGC document, GeoXACML, XACML, GML, access control, encoding extension

iii. **Preface**

This document defines the version 3.0 of the GML 3.2.1 geometry encoding extension to GeoXACML 3.0 Core. It hereby supersedes the previous version GeoXACML 1.0 encoding extension for GML. It is important to notice that version 2.0 of GeoXACML does not exist and therefore no encoding extension of version 2.0!

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University of the Bundeswehr
Oracle
Defense Information Systems Agency (DISA)
National Geospatial-Intelligence Agency (NGA)

v. Submitters

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<tr>
<th>Name</th>
<th>Affiliation</th>
</tr>
</thead>
<tbody>
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</tr>
</tbody>
</table>
1. Scope

This Standard defines Geospatial eXensible Access Control Markup Language (GeoXACML) 3.0 GML 3.2.1 Encoding Extension as an encoding extension to GeoXACML 3.0 Core which allows the processing of GML 3.2.1 encoded geometries. The supported geometry encodings for GML 3.2.1 is defined in Geography Markup Language (GML) simple features profile (with Corrigendum).

In particular, the use of this extension supports the direct use of GML 3.2.1 encoded geometries as part of the GeoXACML 3.0 Policy, an Authorization Decision Request or in an Authorization Decision’s Obligation element.

2. Conformance

2.1 Overview

This Standard defines one standardization target type:

- implementation: GML 3.2.1 based geometry encoding that must be understood by a Policy Administration Point (policy creation and maintenance), a Policy Enforcement Point (processing of authorization decision requests and authorization decisions) and a geospacially enriched Policy Decision Point (GeoPDP) implementation (processing of GeoXACML policies and requests and producing an authorization decision).

NOTE: GeoXACML 3.0 is XACML 3.0 schema compliant. Therefore, a GeoXACML 3.0 Policy, or a ADR or AD instance is using the XACML 3.0 namespace. However, the GML encoded geometry instance will use the GML namespace.

2.2 Specification identifier

All requirements-classes and conformance-classes described in this document are owned by the specification identified as http://www.opengis.net/spec/GEOXACML/3.0/GML3-Extension

2.3 Conformance classes related to implementation

The conformance rules are based on geometry encoding options as defined by Geography Markup Language (GML) simple features profile (with Corrigendum).
Table 2 — Conformance classes related GeoXACML implementation

<table>
<thead>
<tr>
<th>Conformance class</th>
<th>Description</th>
<th>Clause</th>
</tr>
</thead>
<tbody>
<tr>
<td>SF-0</td>
<td>Support the use of GML geometry encoding based on GML 3.2 Simple Features Profile compliant with SF-0</td>
<td></td>
</tr>
<tr>
<td>SF-1</td>
<td>Support the use of GML geometry encoding based on GML 3.2 Simple Features Profile compliant with SF-1</td>
<td></td>
</tr>
<tr>
<td>SF-2</td>
<td>Support the use of GML geometry encoding based on GML 3.2 Simple Features Profile compliant with SF-2</td>
<td></td>
</tr>
</tbody>
</table>

2.4 Backward Compatibility with GML Extension to GeoXACML 1.0

This version of a GML Encoding Extension to GeoXACML 3.0 is normatively based on Geography Markup Language (GML) simple features profile (with Corrigendum). Because this normative base introduces constraints on GML 3.2.1 in terms of supported geometry encodings, not all geometry encodings supported by GML 3 may be used. In that sense, the backwards compatibility of a GeoXACML 1.0 policy using GML encoded geometries is limited. The backwards compatibility is broken if the used GML 3 geometry in the GeoXACML 1.0 policy is not supported by the <Simple Features for GML> specification! For those policies, a transformation to GeoXACML 3.0 using the GML 3.2.1 encoding extension is not possible! Also, any GeoXACML 1.0 policy using GML 2 encoding extension is not backwards compatible, because – as of now - GML 2 encodings are not defined in any encoding extension.
3. References

The following normative documents contain provisions that, through reference in this text, constitute provisions of this document. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. For undated references, the latest edition of the normative document referred to applies.

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.


Geography Markup Language (GML) simple features profile (with Corrigendum), OGC Document 10-100r3

Geospatial eXtensible Access Control Markup Language (GeoXACML) 3.0 Core, OGC Document TBD

OGC Naming Authority (OGC-NA) Policies & Procedures OGC Document 09-046r2 http://www.opengis.net/doc/POL/OGC-NA/1.1


4. Terms and Definitions

This document uses the terms defined in Sub-clause 5.3 of [OGC 06-121r8], which is based on the ISO/IEC Directives, Part 2, Rules for the structure and drafting of International Standards. In particular, the word “shall” (not “must”) is the verb form used to indicate a requirement to be strictly followed to conform to this standard.

For the purposes of this document, the following additional terms and definitions apply.

4.1 GML
Geography Markup Language
4.2 WKT
Well Known Text

5. Conventions

5.1 Abbreviated terms

GeoXACML  Geospatial eXtensible Access Control Markup Language
GML      Geography Markup Language
ISO     International Organization for Standardization
OASIS   Organization for the Advancement of Structured Information Standards
OGC     Open Geospatial Consortium
PAP     Policy Administration Point
PDP     Policy Decision Point
PEP     Policy Enforcement Point
PIP     Policy Information Point
UML     Unified Modeling Language
SF      Simple Features
WKT     Well Known Text
XACML   eXtensible Access Control Markup Language
XML     Extensible Markup Language

5.2 Document presentation of the specification

This document presents the GML based geometry encoding based on GML 3.2.1 Simple Features Profile to be used with GeoXACML 3.0 Core specification using a representation that follows the structures defined in the OGC Policy [The Specification Model — A Standard for Modular specifications OGC Document 08-131r3. http://www.opengis.net/doc/POL/SPEC]. All normative material is organized as requirements, requirements classes, conformance tests and conformance classes. Each is identified with a URI, and the content and dependencies are described in tables whose structure matches the specification model.
6. GML encoded geometries within GeoXACML 3.0 (informative)

6.1 Introduction to GML encoded geometries

The Geography Markup Language (GML) enables a standards based approach for encoding geometry information using XML. The following example illustrates a GML 3.2 encoding of a geometry instance of type Circle.

```
<gml:CircleByCenterPoint numArc="1" xmlns:gml="http://www.opengis.net/gml/3.2">
  <gml:pos srsName="urn:ogc:def:crs:EPSG::4979">29.963745015416 -90.029951432619</gml:pos>
  <gml:radius uom="m">1250</gml:radius>
</gml:CircleByCenterPoint>
```

Figure 1 — GML 3.2 geometry encoding example of CircleByCenterPoint

6.2 Introduction to GML nil geometries in the context of GeoXACML

In GML 3.2, nillable geometries are a concept that must be manifested in a GML Application Schema. For illustration purposes, let’s assume we have the following GML 3.2 Application Schema defined:

```
<?xml version="1.0" encoding="UTF-8"?>
<xs:schema targetNamespace="http://www.opengis.org/geoxacml/3.0/example"
  xmlns:example="http://www.opengis.org/geoxacml/3.0/example"
  xmlns:gml="http://www.opengis.net/gml/3.2"
  xmlns:xs="http://www.w3.org/2001/XMLSchema"
  elementFormDefault="qualified"
  attributeFormDefault="unqualified"
  version="1.0">

  <xs:import namespace="http://www.opengis.net/gml/3.2"
    schemaLocation="http://schemas.opengis.net/gml/3.2.1/gml.xsd"/>

  <xs:complexType name="LocationType">
    <xs:sequence>
      <xs:choice>
        <xs:element ref="gml:Point"/>
      </xs:choice>
    </xs:sequence>
    <xs:attribute name="nilReason" type="gml:NilReasonType"/>
    <xs:attribute ref="gml:id"/>
  </xs:complexType>

  <xs:complexType name="UserContextType">
    <xs:complexContent>
      <xs:extension base="gml:AbstractFeatureType">
        <xs:sequence>
          <xs:element name="Location" minOccurs="1" maxOccurs="1" nillable="true" type="geoxacml:LocationType"/>
          <xs:element name="DateTime" minOccurs="1" maxOccurs="1" nillable="false" type="xs:dateTime"/>
          <xs:element name="Name" minOccurs="1" maxOccurs="1" nillable="false" type="xs:string"/>
        </xs:sequence>
      </xs:extension>
    </xs:complexContent>
  </xs:complexType>
```

The question now arrives how can these user context example be mapped to GeoXACML 3.0 using a set of simple <AttributeValue> elements. The mapping can take place such, that the <DateTime> element is mapped to an <AttributeValue> of data type...
http://www.w3.org/2001/XMLSchema#dateTime and the user name provided in element <Name> can be mapped to an <AttributeValue> of data type http://www.w3.org/2001/XMLSchema#string. The mapping of the <Location> attribute to an <AttributeValue> of data type urn:ogc:def:dataType:geoxacml:3.0:geometry is – of course - different as illustrated below.

**Figure 5 — Example 1 mapped to GeoXACML using <AttributeValue>**

```xml
<AttributeValue DataType="urn:ogc:def:dataType:geoxacml:3.0:geometry"
 xmlns:gml="http://www.opengis.net/gml/3.2">  
 <gml:Point gml:id="p1" srsName="EPSG:4326">
    <gml:pos>48.136944 11.575278</gml:pos>
 </gml:Point>
</AttributeValue>
<AttributeValue DataType="http://www.w3.org/2001/XMLSchema#dateTime">2013-03-13T11:38:00Z</AttributeValue>
<AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">Joe</AttributeValue>
```

**Figure 6 — Example 2 mapped to GeoXACML using <AttributeValue>**

```xml
<AttributeValue DataType="urn:ogc:def:dataType:geoxacml:3.0:geometry"
 xmlns:gml="http://www.opengis.net/gml/3.2" gml:nil="true"
 gml:nilReason="withheld"/>
<AttributeValue DataType="http://www.w3.org/2001/XMLSchema#dateTime">
  2013-03-13T11:38:00Z</AttributeValue>
<AttributeValue DataType="http://www.w3.org/2001/XMLSchema#string">
  Joe</AttributeValue>
```

In cases, where the XML document from the GML Application Schema is inserted into an Authorization Decision Request into the <Content> element, it is not possible to use the IsNil or NilReason functions, as these functions operate on parameter data type Geometry only. But the nilled elements are not of type Geometry.

However, the use of Xpath expressions can be used to identify whether a XML element is nil and thereby not encoding a geometry as a child element.
The ability to include GML and hence XML encoded geometries into GeoXACML is defined in XACML 3.0 through the data type extension point and the “lax” processing of the <AttributeValue> content.

An <AttributeValue> element has an attribute named DataType, which is of type xs:anyURI. According to the extension capabilities of XACML, additional attribute values can be defined by associating a unique DataType identifier to it.

6.3 Introduction to including complex data types into GeoXACML 3.0
Section 8.2 of the XACML specification states that “<xacml:AttributeValue> and <xacml-context:AttributeValue> elements MAY contain an instance of a structured XML data-type.”.

This capability allows the definition of the geometry data type, as defined by GeoXACML 3.0 Core and to include a GML encoded geometry.

```xml
<xs:extension base="xacml:ExpressionType">
  <xs:sequence>
    <xs:any namespace="##any" processContents="lax" minOccurs="0" maxOccurs="unbounded"/>
  </xs:sequence>
  <xs:attribute name="DataType" type="xs:anyURI" use="required"/>
</xs:extension>
</xs:complexType>
```

Figure 8 — XACML schema definition of the <AttributeValue> element

The following example illustrates the use of the GML encoding for a CircleByCenterPoint with a XACML 3.0 <AttributeElement> and the GeoXACML 3.0 data type geometry.

```xml
<AttributeValue xmlns="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17" 
  DataType="urn:ogc:def:dataType:geoxacml:3.0:geometry">
  <gml:CircleByCenterPoint numArc="1" 
    xmlns:gml="http://www.opengis.net/gml/3.2">
    <gml:pos srsName="urn:ogc:def:crs:EPSG::4979" 
      >29.963745015416 -90.029951432619</gml:pos>
    <gml:radius uom="m">1250</gml:radius>
  </gml:CircleByCenterPoint>
</AttributeValue>
```

Figure 9 — GeoXACML 3.0 geometry <AttributeValue> example with a GML3 encoded geometry instance

### 6.4 Introduction to XML encoded resources in GeoXACML 3.0

The typical use of this extension provides support for any GeoXACML request context that shall include geometries using GML 3.2 encoding. For example, a OGC Web Service HTTP/POST encoded request is encoded in XML. An in a case where geometries express parameters of the request (e.g. BBOX), the encoded geometry(ies) could directly be included into the request context.

Further more important is the use case where the entire OWS request (e.g. a WFS-T TRANSACTION request) is subject for access restrictions. When using XML to encode
the request and the geometries are encoded in GML 3.2, it is possible to copy the OWS request 1:1 and insert it into the GeoXACML request context with no changes!

```xml
<Request xmlns="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17"
    ReturnPolicyIdList="false"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17
    http://docs.oasis-open.org/xacml/3.0/xacml-core-v3-schema-wd-17.xsd">
    <Attributes Category="access-subject">
        <Attribute AttributeId="subject:location:1" IncludeInResult="false">
            <AttributeValue
                DataType="urn:ogc:def:dataType:geoxacml:3.0:geometry">
                Point (29.963745015416 - 90.029951432619)</AttributeValue>
        </Attribute>
    </Attributes>
    <Attributes Category="access-ressource">
        <Content>
            <GetFeature
                service="WFS"
                version="2.0.0"
                xmlns="http://www.opengis.net/wfs/2.0"
                xmlns:myns="http://www.someserver.com/myns"
                xmlns:fes="http://www.opengis.net/fes/2.0"
                xmlns:gml="http://www.opengis.net/gml/3.2"
                xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
                xsi:schemaLocation="http://www.opengis.net/wfs/2.0
                http://schemas.opengis.net/wfs/2.0.0/wfs.xsd
                http://www.opengis.net/gml/3.2
                http://schemas.opengis.net/gml/3.2.1/gml.xsd"/>
            <Query typeNames="myns:RoadSegments myns:RoadSegments"
                aliases="RS1 RS2">
                <fes:Filter>
                    <fes:And>
                        <fes:BBOX>
                            <fes:ValueReference>/RS1/geometry</fes:ValueReference>
                            <gml:Envelope srsName="urn:ogc:def:crs:EPSG::1234">
                                <gml:lowerCorner>10 10</gml:lowerCorner>
                                <gml:upperCorner>20 20</gml:upperCorner>
                            </gml:Envelope>
                        </fes:BBOX>
                        <fes:BBOX>
                            <fes:ValueReference>/RS2/geometry</fes:ValueReference>
                            <gml:Envelope srsName="urn:ogc:def:crs:EPSG::1234">
                                <gml:lowerCorner>10 10</gml:lowerCorner>
                                <gml:upperCorner>20 20</gml:upperCorner>
                            </gml:Envelope>
                        </fes:BBOX>
                        <fes:Crosses>
                            <fes:ValueReference>/RS1/geometry</fes:ValueReference>
                            <fes:ValueReference>/RS2/geometry</fes:ValueReference>
                        </fes:Crosses>
                    </fes:And>
                </fes:Filter>
            </Query>
        </Content>
    </Attributes>
</Request>
```
Another important use case for this extension is the ability to get access decisions for OWS responses, if encoded in XML and geometries encoded in GML 3.2. For example, a WFS response (the feature collection) is the resource for which a decision shall be derived or upon which filtering shall be applied. With the use of this extension, it is possible (again) to include the entire WFS feature collection into the GeoXACML 3.0 ADR.

```xml
<?xml version="1.0" encoding="UTF-8"?>
<Request xmlns="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17"
    ReturnPolicyIdList="false"
    CombinedDecision="false"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="urn:oasis:names:tc:xacml:3.0:core:schema:wd-17
    http://docs.oasis-open.org/xacml/3.0/xacml-core-v3-schema-wd-17.xsd">
    <Attributes Category="access-subject">
        <Attribute AttributeId="subject:location:1" IncludeInResult="false">
            <AttributeValue
                DataType="urn:ogc:def:dataType:geoxacml:3.0:geometry">Point (29.963745015416 - 90.029951432619)</AttributeValue>
        </Attribute>
    </Attributes>
    <Attributes Category="access-ressource">
        <wfs:FeatureCollection
            timeStamp="2008-09-07T19:00:00"
            numberOfReturned="2"
            numberOfMatched="unknown"
            xmlns="http://www.someserver.com/myns"
            xmlns:myns="http://www.someserver.com/myns"
            xmlns:wfs="http://www.opengis.net/wfs/2.0"
            xmlns:gml="http://www.opengis.net/gml/3.2"
            xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
            xsi:schemaLocation="http://www.opengis.net/wfs/2.0
            http://schemas.opengis.net/wfs/2.0.0/wfs.xsd
            http://www.someserver.com/myns
            ./DescribeFeatureType_Example01_Response.xsd">
            <wfs:member>
                <TreesA_1M gml:id="TRESSA_1M.1013">
                    <extent>
                        <gml:Polygon gml:id="GID_10"
                            srsName="urn:ogc:def:crs:EPSG::4326">
                            <gml:exterior>
                                <gml:posList srsDimension="2">65.588264 -120.000000
                                65.590965 -120.011292 65.595215
                                -120.022491 65.590500 -120.067284 65.595436
                                65.585365 -120.031212 65.586121 -120.067337
                                65.581848 -120.045082 65.584938
                                65.586121 -120.019363 65.595436 -120.067284
                                65.601036 -120.003975 65.599777 -120.022675
                                65.592880 -120.011292 65.601036 -120.000000
                                65.602081 -120.000000 65.588264 -120.000000</gml:posList>
                            </gml:exterior>
                        </gml:Polygon>
                    </extent>
                </TreesA_1M>
            </wfs:member>
        </wfs:FeatureCollection>
    </Attributes>
</Request>
```
The above examples leverage examples from the WFS 2.0 specification.
Figure 12 — Example WFS POST request [Example 15, OGC 09-025r1 and ISO/DIS 19142 144]
7. GML 3.2 Simple Features Profile Encoding Extension (normative)

7.1 Introduction (informative)

This encoding extension to GeoXACML 3.0 Core leverages the requirement “7.3.7 Requirement: Geometry encoding using XML” and provides one possible XML encoding to be used; hence GML 3.2.

In contrast to the WKT geometry encoding as introduced in the GeoXACML 3.0, GML 3.2 does not support the equivalent encoding to Empty. However, as GML defines the concept of nil, it is important for this encoding extension to define two functions to operate on nil geometries: (i) IsNil(xs:Any):Boolean which enables a GeoXACML policy to test for nil and (ii) NilReason(xs:Any):String which supports to fetch the nil reason for further processing.

Req 1 Function IsNil

This function SHALL have the signature IsNil(Geometry):Boolean and the identifier as urn:ogc:def:function:geoxacml:3.0:geometry-is-nil.

This function shall return a TRUE value if the XML element, which according to a GML application schema includes a GML encoded geometry, is marked a nil=”true”.

This function shall return a FALSE value otherwise.

Req 2 Function NilReason

This function SHALL have the signature NilReason(Geometry):String and the identifier as urn:ogc:def:function:geoxacml:3.0:geometry-nil-reason.

This function shall return the value of the attribute nilReason used for nilled XML elements that carry a GML encoded geometry.
Annex A: Conformance Class Abstract Test Suite (Normative)

**Conformance class: SF-0**

Test that the implementation supports all valid geometry encodings in GML as listed in Geography Markup Language (GML) simple features profile (with Corrigendum) for SF-0 in “Annex A Compliance testing” according to Req 7 „Geometry encoding using XML” defined by GeoXACML 3.0 Core.

**Conformance class: SF-1**

Test that the implementation supports Conformance Class SF-0.

Test that the implementation supports all valid geometry encodings in GML as listed in Geography Markup Language (GML) simple features profile (with Corrigendum) for SF-1 in "Annex A Compliance testing".

Test that the following functions are supported by the implementation:

<table>
<thead>
<tr>
<th>Function URN</th>
<th>Requirement</th>
</tr>
</thead>
<tbody>
<tr>
<td>urn:ogc:def:function:geoxacml:3.0:geometry-is-nil</td>
<td>Req 1</td>
</tr>
<tr>
<td>urn:ogc:def:function:geoxacml:3.0:geometry-nil-reason</td>
<td>Req 2</td>
</tr>
</tbody>
</table>

**Conformance class: SF-2**

Test that the implementation supports Conformance Class SF-1.

Test that the implementation supports all valid geometry encodings in GML as listed in Geography Markup Language (GML) simple features profile (with Corrigendum) for SF-2 in "Annex A Compliance testing".
Annex B: Conformance Test Files (normative)
Annex D: Document revision history

<table>
<thead>
<tr>
<th>Date</th>
<th>Release</th>
<th>Author</th>
<th>Paragraph modified</th>
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<td>2013-03-20</td>
<td>0.1</td>
<td>Andreas Matheus</td>
<td>All</td>
<td>Document created</td>
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<tr>
<td>2013-05-03</td>
<td>0.2</td>
<td>Andreas Matheus</td>
<td>All</td>
<td>Comments received from Norman Brickman (MITRE)</td>
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